U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

6627-P9025D

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number unknown Filing Date unknown INFORMATION DISCLOSURE First Named Inventor CHIEN, Kenneth STATEMENT BY APPLICANT Art Unit unknown (Use as many sheets as necessary) **Examiner Name** unknown

Attorney Docket Number

Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document		
	1	^{US-} 5,634,895	06-03-1997	IGO et al		
	2	^{US-} 5,797,870	08-25-1998	MARCH et al		
	3	^{US-} 5,827,216	10-27-1998	IGO et al	:	
	4	^{US-} 5,931,810	08,03-1991	GRABEK		
	5	^{US-} 6,100,242	08-08-2000	HAMMOND et al		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
	<u> </u>	US-				
1		US-				
		US-				
		US-				
		US-				
•		US-			,	
		US-	- 			

		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				Ţ
						Г
			-			Г
			·			L
						Г
						E
						Г

	•		
Examiner Signature		 Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<u> </u>	nder the Paperwork Rec	duction A	ct of 1995, no persons a	re required to respond to a collection	n of information unless it contains a valid OMI	3 control number.		
	ute for form 1449/PTO			Complete if Known				
				Applicati n Number	unknown	·		
			CLOSURE	Filing Dat	herewith			
STATEMENT BY APPLICANT				First Named Inventor	Kenneth, CHIEN			
·	(Üse as many she	ete ae r	necessary)	Art Unit	unknown	· ····		
				Examiner Name	unknown			
Sheet	2	of	2	Attorney Docket Number	6627-P9025DIV			

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	т-			
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	6	DONAHUE et al, Ultrarapid, highly efficient viral gene transfer to the heart, Medical Sciences, Vol, 94 oo, 4664-4668-April 1997, Baltimore				
	7	DZAU et al, Fusigenic viral liposome for gene therapy in cardiovascular diseases, Proc. Natl. Academy Sci, USA, Vol. 93 pp.11421-11425, October 1996				
	8	HAJJAR et al, Modulation of ventricular function through gene transfer in invivo, Proc. Natl. Acad. Sci., USA, Vol 95, pp. 5251-5256 April 1998, Medical Sciences, MA				
	9	REBOLLEDO et al, Infection of Human Fetal Cardiac Myocytes by a Human Immundodeficiency Virus-1, Dept. of Pediatrics, UCLA School of Medicine, L.A., CA, October 5,1998				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: